

Your System for Asset & Wealth Management made in Switzerland

MiFID II/MiFIR in Allocare AMS

MiFID II/MiFIR poses a regulatory challenge for asset managers, from investment and risk profiles to target market matching and best execution.

The requirements range from regulatory correct reporting before

investment decision, loss threshold warnings and comprehensive cost overviews to reporting to regulators. Rely on Allocare AMS to help you meet the requirements. Focus on your core tasks and rely on a fully integrated system.

Ex Ante Cost Reporting

Show your client in advance the impact of different scenarios on custody, management or performance fees as well as implicit transaction costs.

Target Market Comparison

Review the suitability of financial instruments for your clients based on client category, financial knowledge and experience, client financial situation, risk tolerance, and investment objectives. This is fully integrated into their investment process.

Threshold Loss Reporting

Inform your customers in a timely and regulatory proper manner about impairments of their portfolio. Define your own thresholds in addition to the legal / regulatory requirements in order to be informed in advance and initiate possible measures.

Top 5 Execution Venues

Generate automated reports on which counterparties you have executed the largest trading volumes. Structured by client groups and instrument classes ("RTS 28"), they contain all the necessary information and are output in the specified format.

Ex Post Cost Reporting

Incorporate into your reporting an overview of all actual costs associated with your clients' investments. Show in detail the breakdown by cost category (service and product costs as well as allocations) and the impact of costs on portfolio returns.

MiFIR Transaction Reporting

Submit timely and reliable executed transactions directly to ARMs such as MarketAxess. Use the status to keep track of which transactions have already been processed. have been processed. If necessary, export the messages multiple times.

ALLOCARE AMS PT09 (Mustermann Hans)
Reference Currency EUR

	1 - Initial Investment		2 - Hold/Manage		3 - Hold/Manage		4 - Hold/Manage		5 - Liquidation	
	1 Year		1 Year		1 Year		1 Year		1 Year	
Initial Value	2'000'000		2'015'545		1'931'856		2'037'792		2'034'211	
Portfolio Return	2.00%		-3.00%		7.00%		1.00%		0.50%	
Assets before Costs	2'040'000		1'955'079		2'067'086		2'058'169		2'044'382	
Asset Value	2'019'901		1'985'082		1'998'327		2'047'955		2'039'290	
	relative	absolute	relative	absolute	relative	absolute	relative	absolute	relative	absolute
Financial Instrument Costs	0.23%	4'583	0.23%	4'505	0.23%	4'535	0.23%	4'648	0.23%	4'628
One Off Charges	0.06%	1'233	0.06%	1'212	0.06%	1'221	0.06%	1'251	0.06%	1'246
Ongoing Charges	0.11%	2'174	0.11%	2'137	0.11%	2'151	0.11%	2'204	0.11%	2'195
Transaction Costs	0.02%	468	0.02%	460	0.02%	463	0.02%	475	0.02%	473
Incidental Costs	0.04%	708	0.04%	696	0.04%	700	0.04%	718	0.04%	715
Service Costs	0.98%	19'871	0.94%	18'718	1.24%	24'759	0.94%	19'311	0.94%	19'229
One Off Charges	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0
Ongoing Charges	0.63%	12'679	0.63%	12'461	0.63%	12'544	0.63%	12'856	0.63%	12'801
Transaction Costs	0.32%	6'367	0.32%	6'257	0.32%	6'299	0.32%	6'455	0.32%	6'428
Ancillary Charges	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0
Incidental Costs	0.04%	825	0.00%	0	0.30%	5'916	0.00%	0	0.00%	0
Inducements Costs	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0
Total Costs	1.21%	24'455	1.17%	23'223	1.47%	29'294	1.17%	23'959	1.17%	23'857
Assets after Costs	2'015'545		1'931'856		2'037'792		2'034'211		2'020'525	
Net return	0.78%		-4.15%		5.48%		-0.18%		-0.67%	

Our company

FOUNDATION

2001

EMPLOYEES

50+

INSTALLATIONS

50+

COUNTRIES

10+

Allocare AG (www.allocare.com) is an independent Swiss software company and one of the leading providers of asset and wealth management software in the Swiss market. Innovative solutions based on the proven standard software **Allocare AMS** and the browser-based add-on tool **Allocare WEB** are developed exclusively in Switzerland. The clients portfolio consists of more than 50 mostly long-term clients in the banking and wealth management environment in Switzerland and abroad.

Since 2021 the Zurich-based **Allocare Data AG** (formerly numas SA) has been part of the Allocare Group. The fintech company supports clients in data management, in particular family offices and asset managers, offers reporting services and maintains **Allocare CLOUD**, a service and portfolio management platform based on Allocare AMS and Allocare WEB.

Our Products

ALLOCARE **AMS**

ALLOCARE **WEB**

ALLOCARE **CLOUD**



Our Services

- Software Implementation
- Support and Training
- Data Management
- Reporting Services
- Software as a Service (SaaS)

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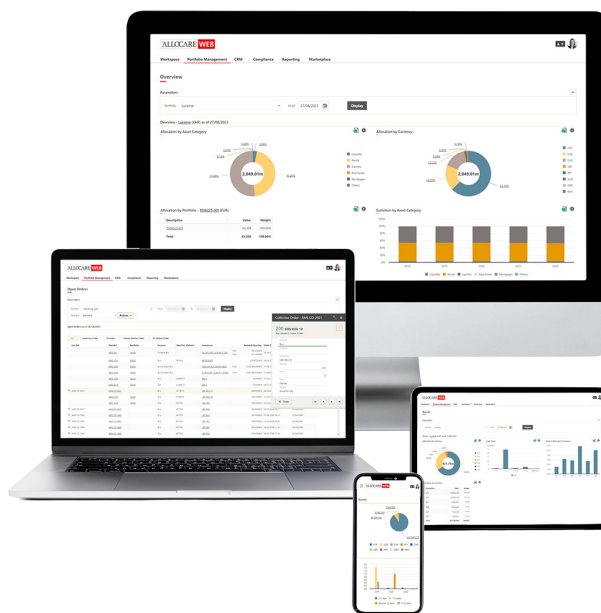
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References



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