

**Corporate Actions** processing is **fully integrated** into Allocare AMS. That means the following functionality is implemented: **diary** (conditions of a corporate action), **entitlement** (manual or automatic matching of positions to the diary), and **accounting** (creation of transactions). The Corporate Action module of Allocare AMS supports interfaces to data providers (e.g. SIX Telekurs) to load corporate action information, transforms it to internal corporate events, supervises, and generates automatically the resulting business transactions.

**Corporate Event List 1**

Status	Reference	Ext Reference	Type	Identifier	Investment	Preparation	Ex	Execution	Trx	Msg	Created
1	Open	1028MA0bS8arf...	1028MA0bS8arf...	Cash Dividend	IE0001827041	CRH PLC	03.03.2009	11.03.2009	11.05.2009	✓	02.04.2009 15:24:29
2	Open	G028MA0bSW...	G028MA0bSW...	Dividend Option	IE0001827041	CRH PLC	19.03.2009	11.03.2009	11.05.2009	✓	02.04.2009 15:25:41
3	Closed	10H7T-0XQH...	10H7T-0XQH...	Coupon	XS0382730272	SOCGEN Float 08/18/11	19.02.2009	18.05.2009	18.05.2009	✓	02.04.2009 15:23:20
4	Closed	1013H0bGuHu...	1013H0bGuHu...	Cash Dividend	BE0003470755	SOLVAY SA	03.03.2009	14.05.2009	19.05.2009	✓	02.04.2009 15:24:34
5	Closed	3076Y0D15KN...	3076Y0D15KN...	Redemption	DE000LRP0UA5	LBBW3 1/2 05/09	06.04.2009	19.05.2009	19.05.2009	✓	07.04.2009 05:00:14
6	Closed	1076Y0D15fHE...	1076Y0D15fHE...	Coupon	DE000LRP0UA5	LBBW3 1/2 05/09	07.04.2009	19.05.2009	19.05.2009	✓	07.04.2009 05:00:19
7	Open	107-1P003wrfk...	107-1P003wrfk...	Coupon	XS0214217316	EURHYP Float 14	16.03.2009	18.06.2009	18.06.2009	✓	02.04.2009 15:25:27

  

**Transaction List 3**

Ext Reference	Type	Identifier	Investment	Portfolio	Proceeds	Nominal/Quantity	Trade Date	Value Date	Created
1	10H7T-0XQH...	Interest	XS0382730272	SOCGEN Float 08/18/11	Portfolio 1	EUR 26472.56	EUR 4'000'000.00000	18.05.2009	18.05.2009 13:5
2	10H7T-0XQH...	Interest	XS0382730272	SOCGEN Float 08/18/11	Portfolio 2	EUR 3'309.07	EUR 500'000.00000	18.05.2009	18.05.2009 13:5
3	10H7T-0XQH...	Interest	XS038272						
4	10H7T-0XQH...	Interest	XS038272						

**Corporate Event Screening Journal**  
18.05.2009 - 19.05.2009

Ref. internal (CE)	Investment	Corporate Event	Ex. Date	Execution Date	Screening Date	Corporate Event Status
Ref. external (CE)			Price	Ratio	Custody Account	Provider
Ref. internal (TRX)	Portfolio	Amount	Price	Value		Transaction Status
<b>Successful</b>						
10H7T-0XQH5zU78	SOCGEN Float 08/18/11	Coupon	18.05.2009	18.05.2009	18.05.2009	Closed
10H7T-0XQH5zU40	XS0382730272		EUR	0.6618 %		Telekurs
LAM-136512	Portfolio 1	4'000'000.00	26'472.56	0.6618 %	26'472.56	Credits
LAM-136513	Portfolio 2	500'000.00	3'309.07	0.6618 %	2152291428	CA - Unmatched
LAM-136514	Portfolio 3	1'250'000.00	8'272.87	0.6618 %	2020288934	CA - Unmatched
LAM-136515	Portfolio 4	250'000.00	1'854.53	0.6618 %	TEXTIL	CA - Unmatched

## Key Functionality

- **Loading and generating of Corporate Events (CE):** CE can be loaded via interface from a data provider or are manually entered into the system.
- **Checking of investment data:** CE, which are not delivered by interface, will be automatically created after checking of the investment data (interest and maturity features)
- **Generating of transactions:** For every relevant event, the system searches for corresponding investment positions and creates the necessary transactions.
- **Planned and unmatched transactions:** Depending on the execution date, the system generates transactions for every CE with different status ('planned' / 'unmatched').
- **Clear Referencing:** Every CE has its unique reference number, so that the corresponding transactions can be found easily.
- **Reporting (Screening Journal):** CE and their transactions can be checked by different parameters and for user-defined periods
- **Holiday Calendar:** When generating transactions, holiday calendars (country- / region-based) are considered.
- **Tax Calculation:** Multiple setting tables allow the system to calculate taxes of dividend and coupon payments.
- **Security Concept:** Flexible access rights can be defined (role-based).
- **Coverage:** In the current Allocare AMS Release 10.3, more than 20 different Corporate Actions are implemented.

**For a free demonstration of our product  
do not hesitate to contact us!**



## Company

**Allocare** is one of the **leading producers** of **asset management software** in the **German-speaking countries**. Since more than ten years the professional standard package **Allocare AMS** has been continuously enhanced and is successfully used by about 50 clients. Allocare AMS meets the highest quality standards in asset management, such as analysis, order processing, client reporting, risk

management (VaR) as well as legal/contractual constraints monitoring. The **Swiss company** employs at its headquarters in Altishofen (Lucerne) and at its subsidiary in Zurich currently 34 employees, thereof more than half in development. The German subsidiary is based in Frankfurt a/M. Allocare **cooperates** with specialised partner companies in Switzerland and abroad.

## Products and Services

**ALLOCARE AMS** meets the wide ranging demands of **modern asset management** beginning with the definition of investment strategies, through the comprehensive order management functionality (single / collective orders, rebalancing against model portfolios) with **integrated constraints monitoring** to recording of all trade transactions for any fund and/or portfolio. The Allocare AMS performance measurement and analysis tools (analysis tree, analysis matrix) offer views on all relevant information (e.g. fund of funds) and ensure the necessary transparency during the complete order process. Thereby it offers the user the choice of viewing any position with any desired criteria and methods for every day and/or for any period on the fly. The **flexible reporting facilities** generate added values for you and your clients. Moreover, Allocare

AMS covers fund laws for Germany (**InvG/DerivateV**), Luxemburg and Austria and supports **GIPS** (Global Investment Presentation Standards) as well as Swiss **BVV2**.

Allocare AMS can easily be integrated into existing IT landscapes and offers **standard interfaces** to market data providers (SIX Telekurs, Bloomberg), to core banking systems (**Avaloq, finnova, Olympic**) and to more than ten different custodian banks.

**ALLOCARE AMS web** allows a simple, fast and customisable online access via the internet to an Allocare AMS database in your look&feel. A secured access to Allocare AMS data from anywhere is assured. Please feel free to request a Demo Account on <http://amsweb.allocare.com>.

## Clients

Allocare AMS is successfully used by banks, insurance companies, institutional investors, fund management companies, family offices and independent asset managers (more than 30) since years. Examples are:



**Allocare offers the required assistance from  
Software implementation to (Online) Support.**

**Allocare AG**  
Kantonsstrasse 3  
CH-6246 Altishofen  
Tel. +41 62 748 65 65

**Allocare AG**  
Stampfenbachstrasse 138  
CH-8006 Zurich  
Tel. +41 44 368 31 00

**Allocare GmbH**  
Weissfrauenstrasse 12-16  
D-60311 Frankfurt a/M  
Tel. +49 69 505 092 432

[www.allocare.com](http://www.allocare.com)  
[info@allocare.com](mailto:info@allocare.com)

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